

1 **Integrating Scientific Cyberinfrastructures to Improve Reproducibility in**

2 **Computational Hydrology: Example for HydroShare and GeoTrust**

3 Bakinam T. Essawy ^a, Jonathan L. Goodall ^{a*}, Wesley Zell^b, Daniel Voce^c, Mohamed M. Morsy^{a,d},
4 Jeffrey Sadler^a, Zhihao Yuan^e, and Tanu Malik^e

5 ^a Department of Civil and Environmental Engineering, University of Virginia, 351 McCormick
6 Road, PO Box 400742, Charlottesville, VA, 22904, USA

7 ^b Earth Systems Modeling Branch, US Geological Survey, 12201 Sunrise Valley Dr., Reston, VA,
8 USA

9 ^c Department of Electrical and Computer Engineering, University of Virginia, 351 McCormick
10 Road, PO Box 400743, Charlottesville, VA, 22904, USA

11 ^d Irrigation and Hydraulics Engineering Department, Faculty of Engineering, Cairo University,
12 P.O. Box 12211, Giza 12613, Egypt

13 ^e College of Computing and Digital Media, DePaul University, Chicago, IL 60604, USA

14 * To whom correspondence should be addressed (E-mail: goodall@virginia.edu; Address:
15 University of Virginia, Department of Civil and Environmental Engineering, PO Box 400742,
16 Charlottesville, Virginia 22904; Tel: (434) 243-5019)

17

18 *Highlights:*

- 19 • Method for packaging and publishing scientific workflows
- 20 • Integration between GeoTrust and HydroShare projects
- 21 • GeoTrust is used to easily package environmental models as containers
- 22 • HydroShare is used to document and share packaged workflows
- 23 • An example application is provided for using a MODFLOW-NWT model

24 **Abstract**

25 The reproducibility of computational environmental models is an important challenge that
26 calls for open and reusable code and data, well-documented workflows, and controlled
27 environments that allow others to verify published findings. This requires an ability to document
28 and share raw datasets, data preprocessing scripts, model inputs, outputs, and the specific model
29 code with all associated dependencies. HydroShare and GeoTrust, two scientific
30 cyberinfrastructures under development, can be used to improve reproducibility in computational
31 hydrology. HydroShare is a web-based system for sharing hydrologic data and models as digital
32 resources including detailed, hydrologic-specific resource metadata. GeoTrust provides tools for
33 scientists to efficiently reproduce and share geoscience applications. This paper outlines a use case
34 example, which focuses on a workflow that uses the MODFLOW model, to demonstrate how the
35 cyberinfrastructures HydroShare and GeoTrust can be integrated in a way that easily and
36 efficiently reproduces computational workflows.

37 **Keywords:**

38 Computational reproducibility; hydrologic modeling; MODFLOW; metadata

39

40 **1. Software availability**

41 The software created in this research is free and open source. The software information and
42 availability are as follows:

43 Developers: Bakinam T. Essawy, Daniel Voce, and Wesley Zell

44 Programming language: Python, Bash

45 GitHub link: https://github.com/uva-hydroinformatics-lab/AWS_MODFLOW.

46

47 **2. Introduction**

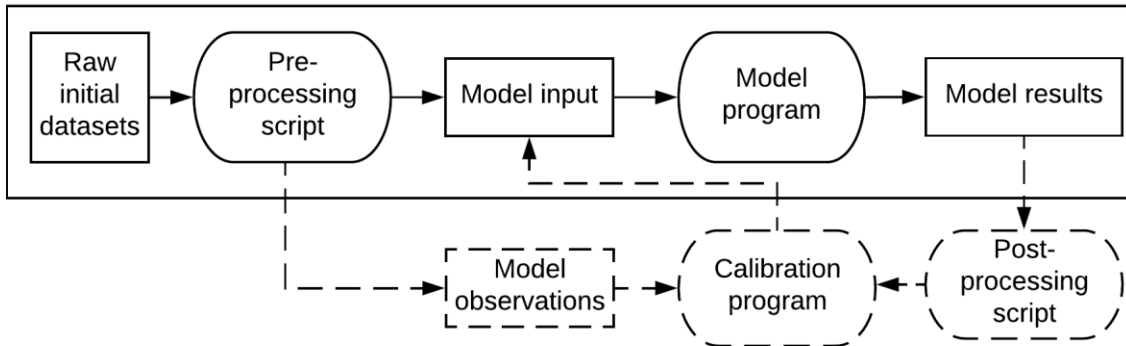
48 The challenge of creating more open and reusable code, data, and formal workflows that allow
49 others to verify published findings is gaining attention in the scientific community (Borgman,
50 2012; David et al., 2016; Gorgolewski and Poldrack, 2016; Meng et al., 2015; Peng, 2011; Qin et
51 al., 2016). Reproducibility is important for both verifying previous results as well as building upon
52 the prior computational research of other scientists. Although we can achieve standard
53 reproducibility for most computational research, there are certain cases in which reproducibility
54 remains difficult to achieve. This challenge is not caused only by technical barriers but also by
55 limited documentation of the research to be replicated and the potentially complex requirements
56 for how the software is packaged, installed, and executed (Piccolo and Frampton, 2016). Recent
57 papers have argued the need and have proposed approaches to improve reproducibility, both within
58 geosciences generally and the hydrologic sciences specifically (David et al., 2016; Essawy et al.,
59 2016; Gil et al., 2016; Hutton et al., 2016). Reproducibility of research is said to be achieved if the
60 scientist was able to preserve sufficient computational artifacts in a way that can be replicated in
61 the future (Meng et al., 2015).

62 Here we consider reproducibility to be the ability to repeat in the same exact form and then
63 document and share digital resources previously used to complete an analysis. These digital
64 resources include (1) initial raw, unprocessed datasets; (2) data preprocessing scripts used to clean
65 and organize the data; (3) model inputs; (4) model results; and (5) the specific model code along
66 with all of its dependencies. Figure 1 shows a typical conceptual workflow that needs to be
67 repeated for computational reproducibility. These data, software, and environments are often
68 integrated into workflows (as computational experiments) that allow scientists to re-run an analysis
69 from raw initial datasets and obtain the same model results.

70 There are different requirements for reproducibility depending on the nature of the
71 research. For example, laboratory experiments require capturing descriptive information about
72 protocols and methods, leading to empirical reproducibility. Computational reproducibility, on the
73 other hand, requires descriptive information about the software and workflow details of model-
74 based research (Todden, 2013). Any workflow that is computationally reproducible must be
75 general and able to address the heterogeneous landscape of tools and approaches used within the
76 target scientific community. In hydrology, scientists use a large variety of computational models,
77 many of which have decades of development effort behind them (Singh et al., 2002).
78 Computational modeling can often require a significant amount of effort and time to prepare model
79 inputs and to calibrate and validate model parameters. Depending on the complexity of the system
80 being modeled and the experience of the modeler, these aspects can make reproducing
81 computational hydrologic experiments particularly challenging.

82 Addressing the challenges for achieving reproducibility in computational workflow has been
83 the topic of many studies. Until now, most approaches have either focused on the logical
84 preservation (i.e., sufficient documentation of a workflow and its components to allow for
85 reproduction later on) or physical preservation (i.e., workflow conservation by packaging all of its
86 components allowing identical replication) (Santana-Perez et al., 2017). It is hard to achieve a high
87 level of reproducibility while using one of these approaches in isolation; rather, the integration of
88 both physical and logical preservation is required to achieve a high level of reproducibility. Some
89 efforts have been made to integrate both logical and physical preservation for computational
90 workflows, such as the Topology and Orchestration Specification for Cloud Applications
91 (TOSCA). The TOSCA framework supports documentation for both the top-level structure of the
92 abstract workflow and the execution environment details (logical). TOSCA also provides

93 packaging functionality for the workflow (physical) (Qasha et al., 2016). In a similar way, our
 94 approach provides both logical and physical preservation. However, the functionality is extended
 95 to allow for automated creation, documentation, publication, and cloud-based execution of
 96 scientific workflow packages.



97
 98 **Figure 1** A typical conceptual workflow that needs to be repeated for computational
 99 reproducibility. Dashed lines indicate processes for model calibration that are not discussed in
 100 this study.

101 This research presents a solution for achieving a higher level of reproducibility by using
 102 GeoTrust’s *Sciunit-CLI* tool and HydroShare. HydroShare (<http://www.hydroshare.org>) and
 103 GeoTrust (<http://geotrusthub.org>) are two new cyberinfrastructures under active development that
 104 aim to improve reproducibility in computational hydrology. The methods described in this paper
 105 can be used to assist scientists to more easily repeat, reproduce, and verify a computational
 106 experiment (Malik, 2017). This method goes beyond open source and simply shared by allowing
 107 portability in different hardware and software environments and reproducible analyses with
 108 different datasets. This level of reproducibility is not easily achieved by using HydroShare or
 109 GeoTrust in isolation. For example, GeoTrust does not provide a community of users who can
 110 verify analyses or the variety of datasets that are required for verification; HydroShare, however,

111 does provide these. Similarly, while HydroShare simplifies the process of sharing code, data, and
112 descriptive metadata, it does not address the challenge of sharing the computational environment
113 required for the workflow and then repeating the computational workflow with different datasets.
114 This paper presents the design and implementation of a workflow that takes advantage of the
115 complementary strengths of the two systems. HydroShare is used to share key digital resources in
116 the workflow, while GeoTrust is used to capture, encapsulate, and make portable model execution.
117 An example application of the approach is presented using MODFLOW-NWT, a version of the
118 United States Geological Survey’s groundwater model, MODFLOW (Niswonger et al., 2011).

119 The remainder of the paper is organized as follows. First, additional background on the
120 HydroShare and GeoTrust projects is provided. This background section is meant to orient readers
121 on key aspects of these projects. Next, the methodology section shows the system design and the
122 use case application for the MODFLOW-NWT model. In the results section, the system
123 implementation of the HydroShare and GeoTrust integration approach is presented and
124 demonstrated by using the use case results as an example application. Finally, a discussion and
125 conclusions section summarizes the key aspects of the approach and outlines opportunities for
126 future research to advance on known limitations of the approach.

127 **3. Background**

128 *3.1. HydroShare*

129 HydroShare is an open source web-based system developed for hydrologic scientists to
130 easily share, collaborate around, and publish all types of scientific data and models including
131 detailed, hydrologic-specific resource metadata (Tarboton et al., 2014a, 2014b). HydroShare has
132 been developed with the support of the United States National Science Foundation (NSF).
133 Following the completion of the original NSF grant, the Consortium of Universities for the

134 Advancement of Hydrologic Sciences Incorporated (CUAHSI) (also funded by the NSF) assumed
135 long-term support for HydroShare's operation and maintenance. In HydroShare, digital content is
136 stored and referred to as a "resource." Each resource is a unit used for management and access
137 control within HydroShare. Every resource has a resource type (Horsburgh et al., 2015).
138 HydroShare assigns a unique identifier for each newly created resource; this identifier is known as
139 the Resource ID. The "generic" resource type supports the Dublin Core metadata standard (Weibel
140 et al., 1998) and more specific resource types expand on this metadata standard for well-defined
141 data types. For example, "Model Operating System" is one of the extended metadata terms for the
142 "Model Program" resource type, which is used for sharing a computational model programs in
143 HydroShare (Morsy et al., 2017).

144 HydroShare provides a Representational State Transfer (REST) Application Program
145 Interface (API) that allows third-party applications to interact with HydroShare resources.
146 (<https://github.com/hydroshare/hydroshare/wiki/HydroShare-REST-API#design-document>).

147 Developers can create web-apps that use HydroShare's REST API to interact with HydroShare
148 resources. Web-app developers can catalogue their apps in HydroShare via the "Web-app"
149 resource type (Swain et al., 2016). When a developer creates a web-app resource in HydroShare,
150 the developer specifies which resource types are relevant to the web-app and the URL that will be
151 called when the web-app is executed from the landing page of the resource that the web-app is
152 acting on. After a developer adds a web-app as a resource in HydroShare, HydroShare users can
153 execute that app through HydroShare's web interface to act on relevant resources that they have
154 access to.

155 Although there are several different resource types supported by HydroShare, two of the main
156 resource types relevant to this paper deal with computational models. HydroShare divides

157 computational models into two separate but linked resource types: a) the model program and b)
158 the model instance. The model program includes the software for executing a specific instance of
159 the model and the model instance are the input files required for executing the model and,
160 optionally, the output files after a model instance has been executed by a model program
161 (Horsburgh et al., 2015; Morsy et al., 2017, 2014). Additionally, a Model Instance Resource type
162 can be linked to a model program resource type using the "ExecutedBy" term, assisting with
163 reproducibility of the model instance (Morsy et al., 2017). Other HydroShare resource types used
164 in this paper include the Composite resource type, which allows uploading metadata files at both
165 file and resource level; the collections resource type, which stores any number of individual
166 resources within HydroShare as a single, aggregate resource; and the web-app resource type, which
167 is the Digital content stored in HydroShare and referred to it as a "resource."

168 3.2. *GeoTrust*

169 The GeoTrust project, also funded by the NSF through their EarthCube program, aims to
170 create cyberinfrastructure that assists scientists to efficiently reproduce and share geoscience
171 applications used in research (Malik et al., 2017). The project has done this primarily by
172 developing the concept of a "sciunit" (<https://sciunit.run/>), an efficient, lightweight, self-contained
173 digital package of an ad-hoc computational workflow that can be repeated in other environments.
174 The sciunit advances the concept of a research object, an aggregation of digital artifacts such as
175 code, data, scripts, and temporary experiment results associated with a research paper. The sciunit
176 provides an authoritative and far more complete record of a piece of research (Hai et al., 2017).
177 To create, maintain, and publish sciunits, the GeoTrust project has developed a software tool for
178 Linux environments called *Sciunit-CLI*.

179 One of the main advantages of a sciunit is its portability, which allows it to be easily run on

180 various computing environments. To accomplish this, *Sciunit-CLI* creates sciunits using Docker,
181 a widely used containerization software. Docker wraps a piece of software in a complete filesystem
182 that contains everything needed to run the software, including code, software runtime, system
183 tools, and system libraries in a Docker container (Owsiak et al., 2017). By leveraging Docker,
184 sciunits are packaged with all of their dependencies. In this way, any sciunit can be executed in
185 any environment in which both Docker and the *Sciunit-CLI* tool are installed regardless of other
186 computer configurations (Hai et al., 2017). This capability eliminates the burden of configuring a
187 running environment with all software dependencies, which can be complex, in order to reuse a
188 scientific workflow and reproduce its results.

189 In addition to ensuring the portability of sciunits, *Sciunit-CLI* automates some documentation
190 of the workflow packaged into a sciunit, including environment dependencies. The automation of
191 documenting all code, data, and environment dependencies alleviates what is typically a
192 burdensome task for scientists. Importantly, *Sciunit-CLI* also records retrospective provenance of
193 the workflow execution, which can be used for re-running containers (Pham et al., 2014). Because
194 it contains all of the required dependencies, the sciunit can be rerun, and the outputs reproduced,
195 using any other deployment configuration that also has *Sciunit-CLI* installed. When *Sciunit-CLI*
196 creates a sciunit, it includes three types of metadata: annotation metadata (populated by the user)
197 and provenance and version metadata (generated automatically by *Sciunit-CLI*).

198 Figure 2 shows an example user interaction with the *Sciunit-CLI* tool. The user runs the
199 *create* command and provides a name, "*Model*" in the example. To create a container or a package
200 within the sciunit, the user runs the *package* command and provides the workflow name (e.g.,
201 "workflow.sh") along with any inputs for the workflow (e.g., "data"). The user application can be
202 written in any combination of programming languages, and many containers can be created within

203 the same sciunit.

204 *Sciunit-CLI* works in a distributed fashion, similar to the Git version control philosophy,
205 such that the sciunits are stored only locally until explicitly shared with a remote repository. This
206 method of operation allows distributed collaborators to work offline on the same sciunit. When a
207 user is ready to share, they can publish the sciunit container to any remote web-repository using
208 the *publish* command. To use the publish command, the remote repository must be configured
209 within the *Sciunit-CLI* tool. This command line prompts first-time users to provide their remote
210 web-repository credentials. The remote repository reads the container's contents, stores the
211 container's digital artifacts in the appropriate remote sciunit, and associates the container with an
212 appropriate cloud execution server on which it can potentially re-execute. In our case, we used
213 HydroShare as the remote repository to publish our packaged sciunit in order to use HydroShare's
214 support for rich metadata and its ability to integrate third-party applications. The latter allowed us
215 to automate the cloud-based execution of this packaged sciunit.

```
1. > create Model
2. > annotate Model author: Bakinam Essawy
3. > exec workflow.sh 1 /data
4. > show
   id: e1
   sciunit: Model
   command: ./workflow.sh Data
   size: 1.18 GB
   started: 2017-11-30 21:23
5. > push my_new_article --setup hs
6. > repeat e1
7. > stop
```

216

217 **Figure 2** A example user interaction with sciunit client.

218 **4. Methodology**

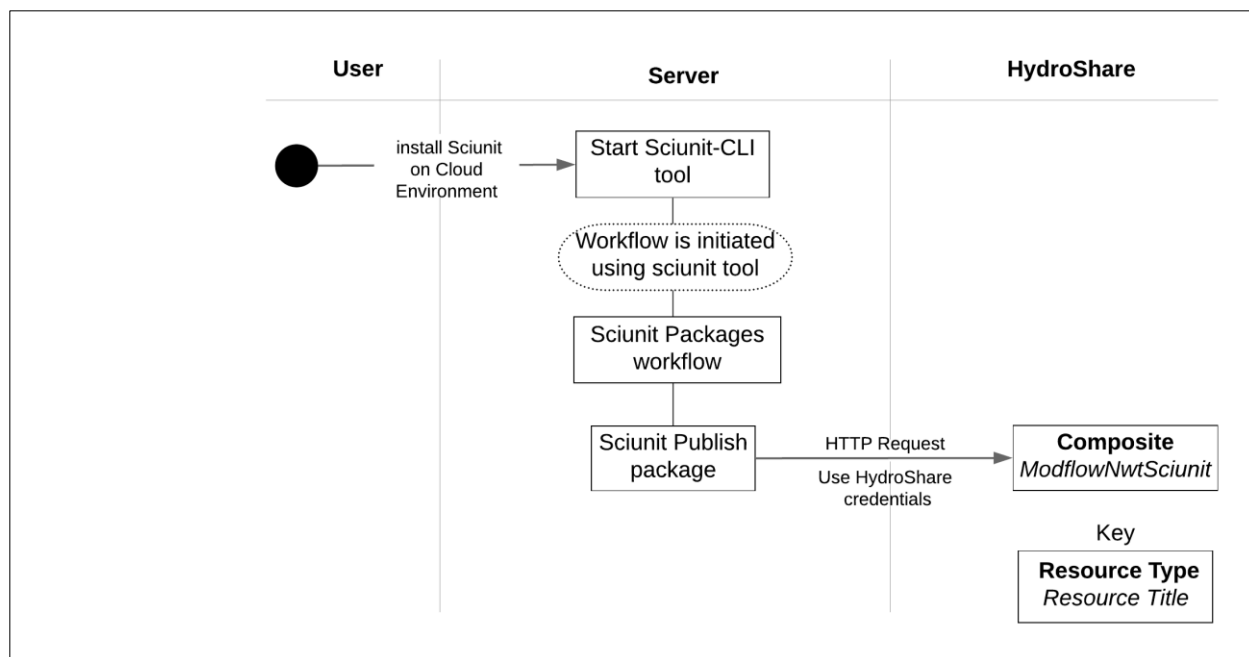
219 *4.1. System Design*

220 The combined GeoTrust and Hydroshare system is designed to connect a repeatable
221 computational workflow with its input data in a reproducible way. As such, both the computational

222 workflow and the data must be stored in a public repository that has extensive metadata support.
223 In addition to public accessibility of the data and the computational workflow, the execution of the
224 workflow must also be made publicly available to ensure reproducibility and transparency. The
225 technology for producing a repeatable computational workflow is provided by the GeoTrust
226 *Sciunit-CLI*, while the technology for public storage and metadata support is provided by
227 CUAHSI's HydroShare. Therefore, the main design aspect of this work consisted of designing a
228 publicly accessible method of execution in which sciunits built with the *Sciunit-CLI* and stored in
229 HydroShare could be executed using input data also stored in HydroShare. This was done in two
230 parts. The first was to build in functionality for publishing a sciunit through HydroShare. The
231 second part was to automate the execution of a sciunit from HydroShare using HydroShare web-
232 apps.

233 *4.1.1. Integrating Sciunit-CLI with HydroShare*

234 Figure 3 shows an activity diagram of the system design for integrating GeoTrust *Sciunit-CLI*
235 and HydroShare. To achieve this integration, *Sciunit-CLI* was extended to support sharing of
236 sciunits through HydroShare. This functionality was implemented using HydroShare's REST API.
237 To publish their sciunit on HydroShare, the user must provide valid HydroShare credentials. In
238 the current implementation, the sciunit resource is published on HydroShare as a Composite
239 Resource Type. Once the resource for the sciunit is created within HydroShare, the user can log
240 into HydroShare and edit the metadata fields to more fully describe the sciunit resource.



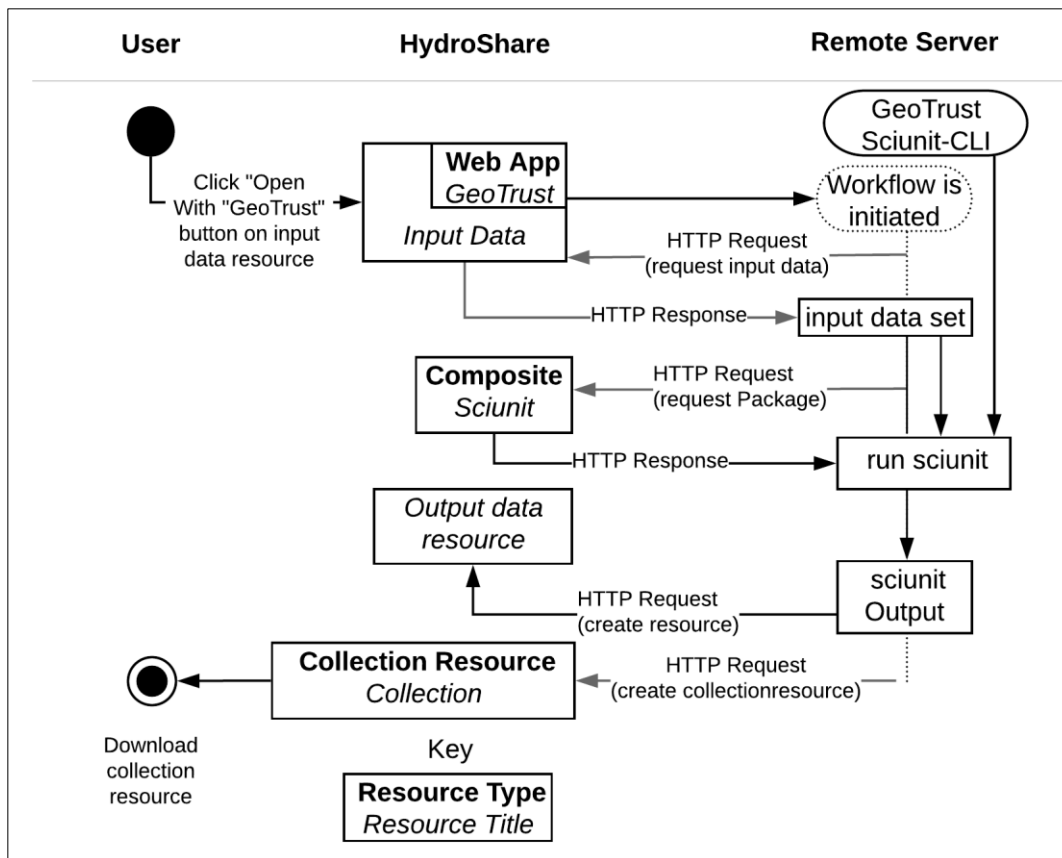
241
 242 **Figure 3** Activity diagram showing creating a sciunit using GeoTrust and publishing that sciunit on
 243 HydroShare.

244 *4.1.2. Automating sciunit execution through HydroShare*

245 Integrating the cloud-based sciunit execution from the HydroShare user interface was done
 246 using a HydroShare web-app. This web-app directs Hyper Text Transfer Protocol (HTTP) request
 247 to a web server where sciunits can be executed. The web-app configured to run a particular sciunit
 248 can be accessed through the "Open with" button on the landing page for the resource that stores
 249 the raw input data. When the scientist clicks on the web-app button from the "Open with" menu,
 250 an HTTP request containing the raw input data's resource ID will be sent to the server. With the
 251 resource ID, the HydroShare REST API can be used to download the raw input data and the sciunit
 252 to the server. The server can then execute the sciunit using the raw data, and return the output to
 253 the scientist as a new HydroShare resource.

254 Figure 4 shows the steps done in a generic form for the integration between the two
 255 cyberinfrastructures, GeoTrust and HydroShare, to improve reproducibility by automating the

256 execution of the published sciunit. The figure shows how the "Open with" app will perform a
257 HTTP GET request to a remote server, which has already been configured with the *Sciunit-CLI*.
258 This automation process is done using a Python script created on the web server machine. This
259 Python script uses the flask library to act as a web server with NGINX (<https://www.nginx.com/>)
260 used as a proxy to forward all HTTP requests from the user browser to the Python script, which
261 can handle multiple users simultaneously. The Python script is using the POST request to create a
262 new resource and upload the output generated from running the sciunit on this resource.
263 Simultaneously, a webserver is running on the remote machine, which handles the HTTP request
264 and automatically executes a Python script. This script uses the HydroShare user authentication to
265 download the input data from the resource and downloads the Composite resource that includes
266 the sciunit container. Once both resources are downloaded, the resources are unzipped and moved
267 to the working directory for the analysis. The *Sciunit-CLI* executes the downloaded sciunit
268 package. After the sciunit is executed, a new resource is created in HydroShare and the output
269 from the *Sciunit-CLI* execution is uploaded into this new resource. A new collection resource is
270 also created on HydroShare to group all resources that were included during this execution. In this
271 paper we used HydroShare API. Our Python script uses the Python Client Library for the REST
272 API (<http://hs-restclient.readthedocs.io/en/latest/>).



273

274 **Figure 4** The generic implementation for automating the execution of the published sciunit from
 275 the HydroShare web-app

276 4.2. Use Case Application

277 A use case application was designed to demonstrate the integration of GeoTrust *Sciunit-CLI*
 278 and HydroShare. This integration allows GeoTrust to package and publish a sciunit through
 279 HydroShare, after which HydroShare automates the execution of this sciunit. Execution of the
 280 packaged sciunit through HydroShare was demonstrated using EC2 instances from Amazon Web
 281 Services (AWS). A Linux-based, micro-sized machine (t2) was used for prototyping and
 282 demonstration purposes; this machine had 1 Gb of memory, 1 vCPU, 32 Gb of Solid State Drive
 283 (SSD)-based local instance storage, and a 64-bit platform (“Amazon EC2 Instances,” 2015). This
 284 use case consisted of a workflow used for preprocessing model input data, running a computational

285 model, and handling the model outputs. The computational model used for the use case was
286 MODFLOW-NWT.

287 *4.2.1. MODFLOW-NWT Use Case*

288 MODFLOW-NWT is a standalone version of MODFLOW, a commonly used groundwater
289 model (Niswonger et al., 2011). The concept of "packages" is key to the modularity of the different
290 versions of MODFLOW (including MODFLOW-NWT); packages are input files that define some
291 individual component of the groundwater-flow conceptual model or specify the solution method
292 used for the flow equation that is collectively formulated from the individual components. For
293 example, the basic (BAS) and discretization (DIS) packages define the spatial and temporal
294 framework of the model, including the grid dimensions and the location of active and inactive grid
295 cells, while the recharge (RCH) package defines the spatial-distribution and rate of recharge to the
296 water-table. For our use case using MODFLOW-NWT, the Newton-Raphson (NWT) package
297 defines the variables required to implement the Newton-Raphson solution method.

298 For this study, MODFLOW-NWT was used to simulate the shallow groundwater flow in the
299 James River watershed upstream of Richmond, VA, USA. The model includes recharge to the
300 water table, subsurface flow through the saturated zone, and base-flow discharge to surface water
301 bodies including the James, Rivanna, and Hardware Rivers and several smaller-order streams.
302 Depth-integrated effective transmissivity was assumed to be constant throughout the active model
303 area and spatially-distributed recharge was derived from the national recharge dataset developed
304 by Reitz et al. (2017). Base-flow discharge was simulated using the MODFLOW drain (DRN)
305 package with all drain elevations (i.e., the water-table elevation required to discharge base-flow to
306 a receiving stream) extracted from the National Elevation Dataset. The model runs to completion
307 and is unconstrained by calibration; as such it is to be only used as an example for the workflow

308 processes described in this paper (i.e., no hydrologic or management conclusions were drawn from
309 the results of the model). This workflow could be extended to include calibration (Figure 1). For
310 example, a HydroShare resource for a parameter estimation program such as PEST (Doherty and
311 Hunt, 2010) could be created and included in the sciunit container. Similarly, the pre-processing
312 script could include data retrieval from web services such as the USGS water services API
313 (<https://waterservices.usgs.gov/>) and the automated generation of PEST input files.

314 The FloPy library was used to create the MODFLOW-NWT model from raw input datasets
315 (Bakker et al., 2016). FloPy is a library of Python modules that allows scripting of the various
316 steps in MODFLOW model development, execution, and analysis. By combining FloPy with
317 GeoTrust and HydroShare, the workflow used to create and execute MODFLOW model (e.g., the
318 steps shown in Figure 1) can be stored within a reproducible container with descriptive metadata
319 in HydroShare.

320 **5. Results**

321 *5.1. System Implementation*

322 The system was implemented using the following steps. First, the script downloads raw input
323 data and the sciunit resources from HydroShare. Second, the script will unzip both the data and
324 sciunit, pass the data to the sciunit as an argument (this is how the sciunit accepts the input data),
325 and then run the sciunit with the downloaded data. Last, after the execution is completed, the
326 Python script will upload the results to HydroShare by using a POST request to create two new
327 resources: one for the sciunit output, which has the MODFLOW-NWT Model Instance Resource
328 type, and the other the collection resource that will include all the resources used within the study.
329 The script then returns the command status (including any errors) to the user.

330 5.2. Use Case Results

331 A digital workflow (bash script) was packaged into a sciunit using the *Sciunit-CLI* tool.
332 The digital workflow runs a Python script to prepare the MODFLOW-NWT input data files and
333 then executes a single run of the model. Figure 5 shows the component of the packaged digital
334 workflow.

```
#!/bin/bash
cp -a /home/$1/$1/data/contents/ /home/Data/
(cd /home/; python build_modflow.py)
(cd /home/MODFLOW; ./mfjwt *.nam)
```

335

336 **Figure 5** component of the packaged digital workflow.

337 Figure 6 outlines the first steps taken in the process to start and create a new sciunit through
338 the GeoTrust *Sciunit-CLI* tool for the example workflow while Figure 7 shows the execution and
339 packaging of the digital workflow into a sciunit package. This package command traces all
340 dependencies for the workflow and includes them in a single Docker file. Figure 8 shows how the
341 *publish* command is used to publish a sciunit package on HydroShare. If this is the user's first time
342 connecting to HydroShare, *Sciunit-CLI* will ask for HydroShare user credentials, otherwise the
343 credentials stored will be used. Once the package is published, metadata can be provided by the
344 user via the HydroShare Graphical User Interface (GUI). Future implementations of the *Sciunit-*
345 *CLI* may expand this functionality by automatically populating more detailed metadata for
346 describing resources.

```
ubuntu@ip-172-31-25-113:~/test$sciunit create Model
Opened empty sciunit at /home/ubuntu/sciunit/Model
```

347

348 **Figure 6** The creation of a new sciunit through the GeoTrust *Sciunit-CLI* tool for the use case

```
ubuntu@ip-172-31-25-113:~/test$sciunit exec ./workflow.sh Data
Rasterizing shapefile: Data/James_Rivanna_5070.shp
Writing output raster to: Framework/James_Rivanna_IBOUND.tif
0...10...20...30...40...50...60...70...80...90...100 - done.
Executinggdalwarppath:gdalwarp
Clipping the raster to the model domain.
```

349

350 **Figure 7** Execution of the use case workflow through sciunit to create a package

```
ubuntu@ip-172-31-25-113:~/test$ sciunit push my_new_article --setup hs
Logged in as "Essawy, bakinam <btaessawy@gmail.com>"
Title for the new article: Model
my_new_article: 596MB [00:31, 18.8MB/s]
ubuntu@ip-172-31-25-113:~/test$
```

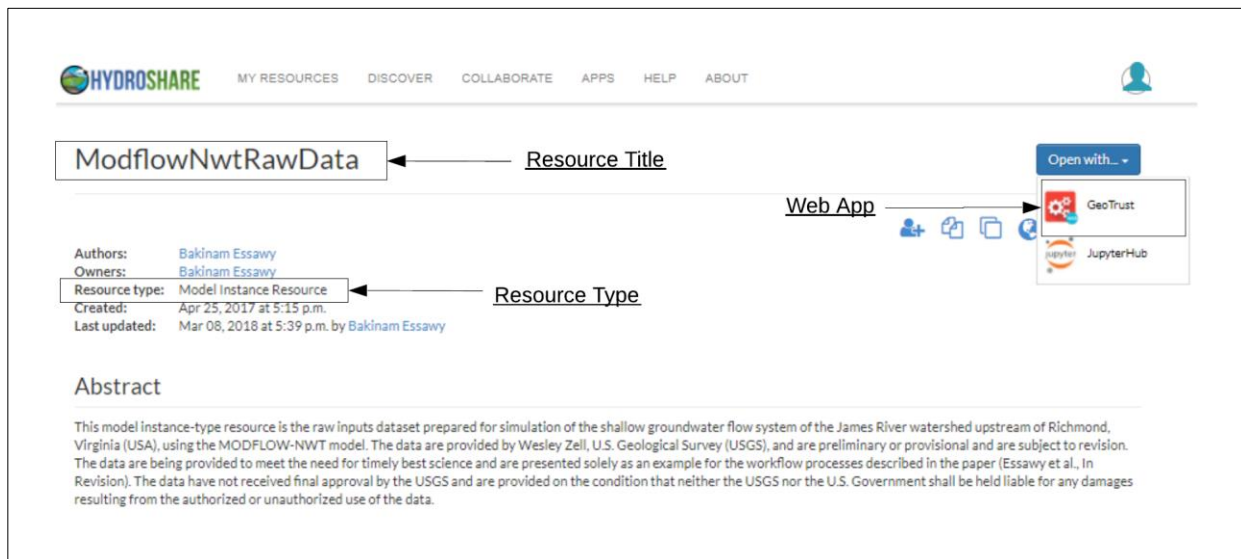
351

352 **Figure 8** Publishing the use case sciunit to HydroShare

353 The newly created resource on HydroShare is a Composite Resource Type. This resource
354 type allows the resource to include multiple files without file format limitations and with metadata
355 associated at a file level within the resource. The Composite resource contains two files. The first
356 is the provenance metadata file created while packaging the workflow; this metadata file contains
357 information concerning the creation and version history of the managed data. The second file is
358 the zipped package for the sciunit itself.

359 Once the sciunit is available as a HydroShare resource, HydroShare's integration with
360 third-party web apps is used to execute the sciunit. In order to store data and make it accessible to
361 be used as the input required by the sciunit, we made a new model instance-type resource titled
362 "ModflowNwtRawData" (Essawy, 2018b). We also created a web-app resource titled "GeoTrust"
363 (Essawy, 2018a). This web-app pointed to the AWS-EC2 instance where the *Sciunit-CLI* tool and
364 our Python script were installed. The connection between the HydroShare resource and the web
365 server was made by providing the web server's URL as the "App-launching URL Pattern"
366 metadata term in the resource. The GeoTrust web-app resource is linked to the
367 ModflowNwtRawData resource by the SupportedResourceType metadata property. This metadata

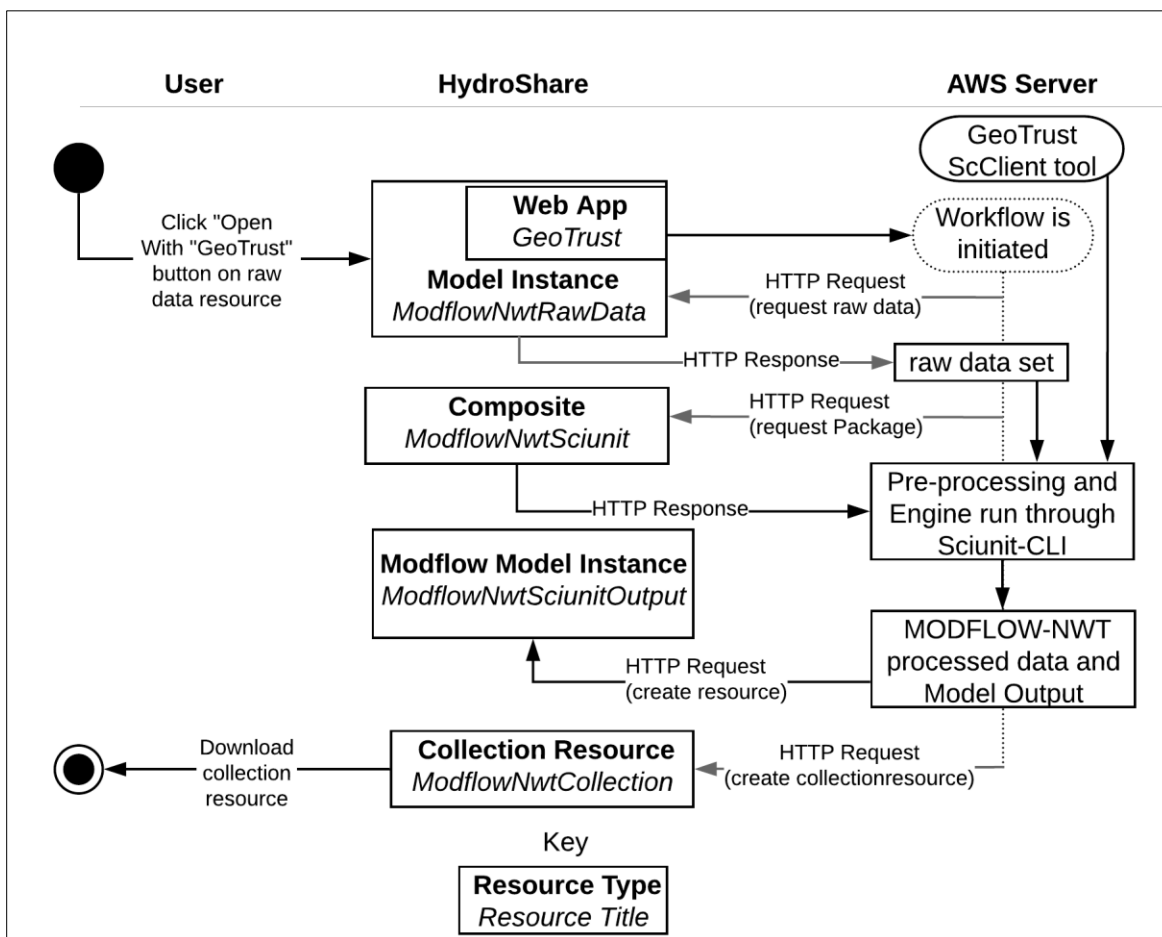
368 property was set to include the Composite Resource Type, which allowed the web-app to appear
 369 in a drop-down list in the "Open with" menu on the ModflowNwtRawData resource landing page.
 370 Figure 9 shows the Model Instance Resource type that includes the raw data, and the web apps
 371 linked to this resource type to automate the sciunit execution. When the GeoTrust web-app on this
 372 page is selected, the HTTP request is sent to server and the workflow is executed. The output is
 373 written back to HydroShare as a new resource with the MODFLOW Model Instance Resource
 374 type. This resource type is used because the resource can be executed by a MODFLOW model
 375 program and it allows for adding extended metadata specific to MODFLOW (Morsy et al., 2017).



376
 377 **Figure 9** The raw data within the Model Instance Resource type, and the web apps linked to this
 378 resource type to automate the sciunit execution.

379 Figure 10 presents the activity diagram for the steps that occur when the "Open with" button
 380 is clicked and the "GeoTrust" app is selected on the ModflowNwtRawData resource landing page.
 381 The "GeoTrust" app will perform an HTTP GET request to the AWS-EC2 machine, which has
 382 already been configured with the *Sciunit-CLI*. The webserver running on the AWS-EC2 machine
 383 handles the HTTP request and automatically executes a Python script. The script uses the

384 HydroShare user authentication to download both the raw data of the ModflowNwtRawData
385 resource and the sciunit container included within the ModflowNwtSciunit resource (Essawy,
386 2018c). Once the ModflowNwtSciunit and the ModflowNwtRawData resources are downloaded,
387 the script unzips the resources and moves them to the working directory for the analysis. The
388 *Sciunit-CLI* tool executes the downloaded sciunit package, which pre-processes the raw input data
389 for the model and executes the MODFLOW-NWT model. After the model is executed, a new
390 resource is created in HydroShare with the MODFLOW Model Instance Resource type named
391 ModflowNwtSciunitOutput (Essawy, 2018d) and the output from the *Sciunit-CLI* execution is
392 uploaded into this new resource. A new collection resource is also created on HydroShare to group
393 all the resources: the ModflowNwtRawData generic Model Instance Resource (the resource type
394 is a generic model instance because the data uploaded have no specific metadata or format that
395 could be tied to a specific resource type), the web-app GeoTrust resource, the ModflowNwtSciunit,
396 MODFLOW Model Instance Resource, the ModflowNwtSciunit Composite resource, and the
397 ModflowNwtSciunitOutput resource that includes the output resulting from executing the sciunit
398 package.
399



400

401 **Figure 10** Activity diagram showing the steps for the online execution of the sciunit through

402

HydroShare.

403 Figure 11 shows HydroShare user "My Resources page" after using the "Open with" action

404 button on the GeoTrust web-app on the ModflowNwtRawData resource for the online execution.

405 Two new resources are created. The first resource in the workflow is the

406 ModflowNwtSciunitOutput resource, which includes the input files for the MODFLOW-NWT

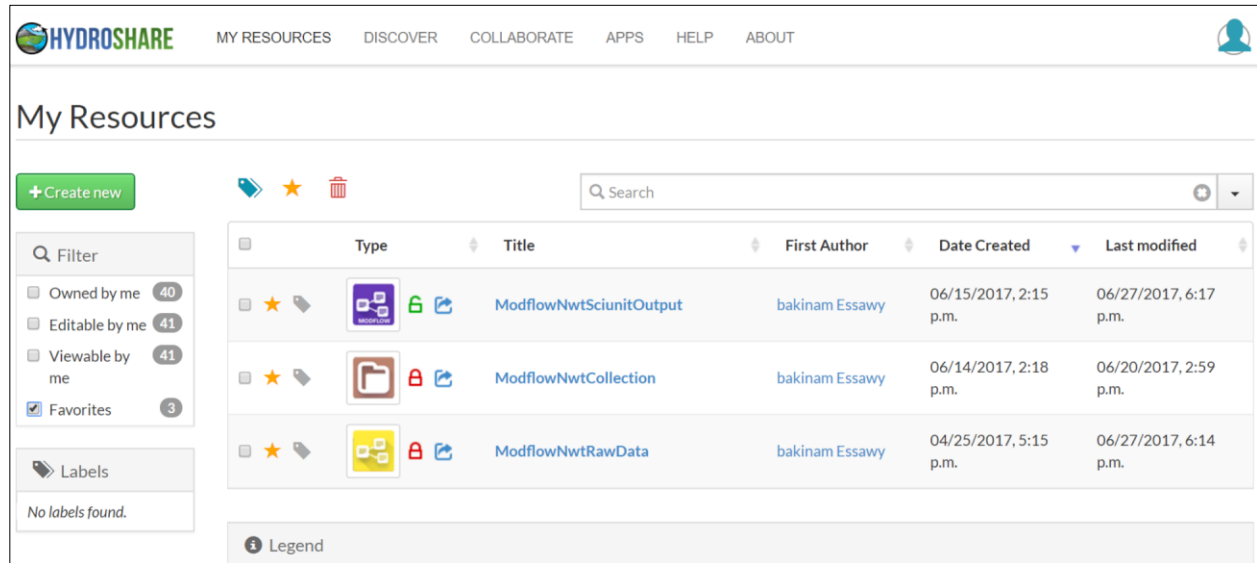
407 model program that are prepared through the preprocessing script and the output from the model

408 run. This resource is given the MODFLOW Model Instance Resource type, because the resource

409 has the inputs that are required by the MODFLOW-NWT model. This resource type allows for

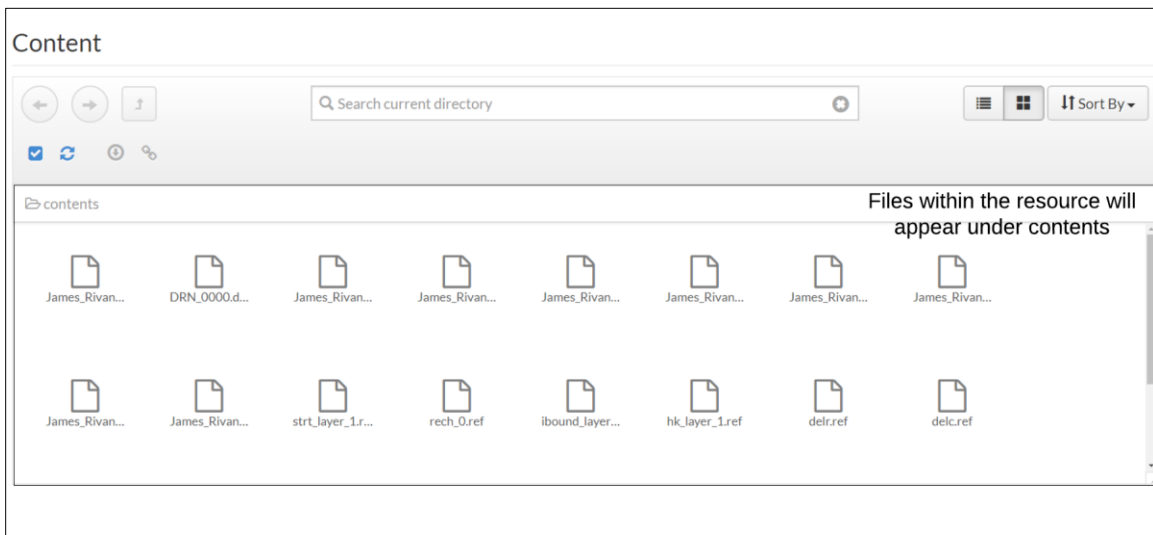
410 extended metadata specific to a MODFLOW model instance. The second resource created is the

411 ModflowNwtCollection resource (Essawy, 2018e), which includes all the resources used in the
412 online execution for the MODFLOW-NWT. This provides a grouping of resources used for an
413 analysis and allows the user to share or download this collection of resources more easily.



414
415 **Figure 11** HydroShare user My Resources page after using the GeoTrust web app for the online
416 execution.

417 Figure 12 shows the output files within ModflowNwtSciunitOutput resource as viewed on
418 this resource's HydroShare landing page. The resource contains the output generated from running
419 the sciunit that prepares the model input for MODFLOW-NWT and the output from running the
420 MODFLOW-NWT model program itself. The MODFLOW Model Instance Resource type
421 includes extended metadata terms specific for MODFLOW. In this use case the model has eight
422 packages. In addition to the packages already described, this model instance includes: the output
423 control (OC) package, which specifies how the model output is written; the upstream-weighting
424 (UPW) groundwater flow package, which describes the system properties (e.g.,
425 transmissivity/conductivity); and the one output listing file (LIST), which contains all the
426 information about the current run (e.g., stress period, time step and the number of active and
427 inactive cells, the recharge, drains, and any errors). The name file (NAM) specifies the name of
428 the input and output files for the model instance.
429



430
431 **Figure 12** The output files within the ModflowNwtSciunitOutput resource landing page in
432 HydroShare.

433 Additional metadata associated with the MODFLOW output resource is divided into four
 434 categories: 1) Authorship, 2) Related resources, 3) Resource Specific, and 4) Web Apps. Figure
 435 13 shows the "Related Resources" metadata. Here all resources linked to the MODFLOW output
 436 resource through formal relationships are listed. In this case, the MODFLOW output resource is
 437 linked to the ModflowNwtRawData resource through the "Derived From" relationship and to the
 438 MODFLOW-NWT resource through the "isExecutedBy" relationship. Figure 14 shows the
 439 "Resource Specific" metadata. These are non-null metadata terms that apply only to the
 440 MODFLOW Model Instances' such as grid attributes, solver, and boundary condition package
 441 choices. Additional metadata terms not previously populated by the user can be populated later
 442 within the edit mode and will appear in this section once populated.

Sources

Derived From: Essawy, b., D. Voce (2017). ModflowNwtRawData, HydroShare, <http://www.hydroshare.org/resource/4c9f9daa09e745a5b285481c7903c759>

Relations

isExecutedBy: Essawy, b. (2017). ModflowNwtSciunit, HydroShare, <http://www.hydroshare.org/resource/995479b35b62486783e0da63e937ca89>

isPartOf: Essawy, b. (2017). ModflowNwtCollection, HydroShare, <http://www.hydroshare.org/resource/bf598099ed384540aaa9284b7343a717>

This resource belongs to the following collections:

Title	Owners	Sharing Status	My Permission
ModflowNwtCollection	bakinam Essawy	Private & Shareable	Owner

443
 444 **Figure 13** The ModflowNwtSciunitOutput Related Resources metadata tracking the resource's
 445 provenance within HydroShare.

Authorship		Related Resources		Resources Specific		Web Apps	
Model Output							
Includes output files?	Yes						
Executed By							
Name	MODFLOW-NWT						
Version	v.1.1.2						
Resource URI	https://www.hydroshare.org/resource/ace3231be6b64ee6a02ddd8e6dfa3d5d						
Study Area							
Total length in meters	300						
Total width in meters	300						
Grid Dimensions							
Number of layers	1						
Type of rows	Regular						
Number of rows	439						
Type of columns	Regular						
Number of columns	596						
Stress Period							
Type	Steady						
Length of stress period(s)	1						
Groundwater Flow							
Flow package	UPW						
Flow parameter	Hydraulic Conductivity						
Boundary Condition							
Specified flux boundary package(s)	rch, dis, bas						
Head-dependent flux boundary package(s)	drm						
Model Calibration							
Observation process package	obs						
General							
Model parameter(s)	Hydraulic Conductivity						
Model solver	NWT						
Output control package	oc						

446

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Figure 14 ModflowNwtSciunitOutput specific metadata capturing key MODFLOW model properties.

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Figure 15 shows details for the resulting ModflowNwtCollection resource as viewed on this resource’s landing page. The collection resource contains four sub-resources: 1) the ModflowNwtRawData resource with the raw input data ready to be prepared for the MODFLOW-NWT model engine; 2) the ModflowNwtSciunit resource with the sciunit pre-processing workflow, which also includes running the MODFLOW-NWT model; 3) the ModflowNwtSciunitOutput resource, which stores the output generated from running the sciunit workflow; and 4) the GeoTrust web app used to perform the online model execution using AWS-EC2. By organizing all these resources into a single collection, it is possible to have one landing page where users can, referring back to the stated goals in the introduction of this paper, view, obtain, and execute (1) raw initial datasets, (2) data preprocessing scripts used to clean and

459 organize the data, (3) model inputs, (4) model results, and (5) the specific model code along with
460 of all its dependencies used for a computational analysis.

The screenshot shows the HydroShare interface for a resource titled "ModflowNwtCollection". The page includes metadata such as author (bakinam Essawy), resource type (Collection Resource), and creation/last update dates. It also features an abstract, subject tags (modflow sciunit, Modflow-NWT), a citation, sharing status (Public, Discoverable, Private), and a table of collection contents. A diagram on the right side of the page illustrates the hierarchical structure of the collection resource, showing it as a "Collection Resource" containing a "Web App", a "MODFLOW Model Instance", a "Model Instance", a "Composite", and a "Key".

Collection Contents Table:

Title	Type	Owners	Sharing Status	My Permission
ModflowNwtSciunit	CompositeResource	bakinam Essawy	Public & Shareable	Owner
ModflowNwtRawData	ModelInstanceResource	bakinam Essawy	Private & Shareable	Owner
ModflowNwtSciunitOutput	MODFLOWModelInstanceResource	bakinam Essawy	Public & Shareable	Owner
GeoTrust	ToolResource	bakinam Essawy	Private & Shareable	Owner

Diagram Structure:

- Collection Resource: ModflowNwtCollection
 - Web App: GeoTrust
 - MODFLOW Model Instance: ModflowNwtSciunitOutput
 - Model Instance: ModflowNwtRawData
 - Composite: ModflowNwtSciunit
 - Key: Resource Type (Resource Title)

461

462

Figure 15 The collection resource that includes all resources used within the study.

463 6. Discussion and Conclusions

464 In this paper, we demonstrated how HydroShare and GeoTrust can be integrated to easily and
465 efficiently package, share, and publish model workflows. MODFLOW-NWT was used as an
466 example application to demonstrate the functionality provided by these cyberinfrastructures for
467 creating open, reusable data analysis and cloud-based model execution services. The approach
468 showed how containers built using GeoTrust tools can be shared as HydroShare resources. A
469 cloud-based service was created to automatically retrieve raw input data from HydroShare, execute
470 a sciunit container that both prepares and runs a MODFLOW-NWT model, and share the results
471 on HydroShare using a MODFLOW Model Instance Resource type. All the resources are
472 aggregated in HydroShare into one collection resource with domain-specific metadata.

473 The integration of scientific cyberinfrastructures such as the HydroShare and GeoTrust
474 projects can improve reproducibility in computational hydrology. New MODFLOW models can
475 be directly built from unprocessed input data (e.g., land-surface DEMs or stream-network
476 shapefiles) by running a sciunit container that includes automated data preparation steps
477 implemented using the FloPy Python package. The container is run online using AWS resources
478 initiated directly through the HydroShare user interface. A particular advantage of this approach
479 is that the GeoTrust *Sciunit-CLI* tool provides scientists a method for efficiently creating containers
480 for script-driven modeling workflows. Thus, the general approach demonstrated here for the
481 MODFLOW-NWT use case could be applied for any workflow that can be automated and that is
482 compatible with Docker requirements. For example, in prior work we have constructed pre- and
483 post-processing workflows for the Variable Infiltration Capacity (VIC) hydrologic model (Liang
484 et al., 1996) that could directly benefit from this method for packaging, sharing, and publishing
485 resources (Billah et al., 2016; Essawy et al., 2016). These containers are efficient, lightweight,

486 self-contained packages of computational experiments that can be repeated or reproduced
487 regardless of deployment configurations.

488 In addition to integration with HydroShare for storing and publishing a sciunit, cloud resources
489 were used to execute sciunits directly through the HydroShare user interface. While only AWS
490 was presented, we evaluated as part of this work three different cloud computing services:
491 EarthCube Integration and Testing Environment (ECITE), CyVerse, and Amazon Web Services
492 (AWS). ECITE and CyVerse are funded by NSF and both are under active development. One main
493 advantage for using ECITE or CyVerse is that they are free of charge for scientific studies. AWS,
494 though not free, does offer a competitive grant program for researchers. From our experience, the
495 AWS platform made the process of obtaining computer resources the simplest when compared to
496 ECITE and CyVerse. The AWS user simply logs in to the console, selects the type of the machine
497 needed, and launches it. When using ECITE, we had to contact the developer and ask for an
498 instance with the required specifications and a short paragraph summarizing the project we are
499 working on to justify the allocation of compute resources. We also needed to contact the developer
500 each time we wanted to open a port (e.g., port 22 to SSH or port 80 for HTTP). The service did
501 not support Elastic IPs like AWS, so each time we restarted an instance and wanted to use SSH to
502 access to the machine, we needed to report the IP address used to access the machine to the
503 developer to add this address to the security rules. CyVerse is a more mature service, but allows
504 each user only a certain allocation of computational time. Once the user exceeds this allocation the
505 instance is suspended and the user needs to request more time from the administrators. This feature
506 was problematic for our use case of a continually available cloud-based resource for online model
507 execution. For these reasons, we used AWS-EC2 for much of the testing work described in this

508 paper, but ECITE and CyVerse are in active development and will likely be good options for this
509 use case in the future.

510 While this approach shows great promise, it is not without limitations: (1) the *Sciunit-CLI* tool
511 must be installed in order to re-execute a sciunit container and (2) HydroShare lacks methods for
512 uniquely identifying and managing web-app resources that will be needed as the number of these
513 resources continues to increase. Regarding the latter limitation, without a more organized structure,
514 naming conflicts could cause confusion when using the "Open with" button over which app is to
515 be requested. Also, this work does not fully explore computational challenges associated with the
516 proposed methodology. Using cloud services like AWS provides the opportunity for scalability as
517 more users are added. For example, this solution used small EC2 instances for prototyping. Future
518 work could explore AWS EC2 Container Service (ECS) as an alternative for a more scalable
519 solution to support multiple concurrent users. Data movement between HydroShare and AWS is
520 another potential issue as data volumes increase, which is not uncommon for hydrologic modeling.
521 HydroShare is built on iRODS (Integrated Rule-Oriented Data System), which includes the ability
522 to interface with AWS S3 storage resources. Future work could explore using this functionality to
523 automate the movement of large files between HydroShare and AWS to support computation
524 within AWS and still maintain access through the HydroShare user interface. iRODS is
525 specifically designed to handle such data federation needs and should provide a robust solution for
526 managing the large data flows common in hydrologic modeling. Lastly, future work should
527 explore scaling of the general approach presented here to use cases in which multiple sciunits are
528 available for execution within a remote, cloud-based resource. In this case, a user could select from
529 available sciunits to process input data stored with HydroShare, making for a potentially very

530 powerful general approach applicable to many different modeling and analysis use cases that
531 require remote data processing.

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